

REPORT TO: POLICY & RESOURCES COMMITTEE – 24 AUGUST 2015

REPORT ON: CAPITAL PLAN 2016-2021 – GENERAL SERVICES & HOUSING HRA

REPORT BY: EXECUTIVE DIRECTOR OF CORPORATE SERVICES

REPORT NO: 279-2015

1 PURPOSE OF REPORT

- 1.1 To provide elected members with background information and details of the Council's General Services and Housing HRA Capital Plan for the 5 year period 2016-2021. The Capital Plan updates and extends the Capital Plan 2015-18 – General Services (as approved at Policy and Resources Committee 12 February 2015- report 71-2015 refers) and Housing HRA Capital Plan 2015-2020 (as approved at Policy and Resources Committee 26 January 2015 – report 19-2015 refers)

2 RECOMMENDATIONS

- 2.1 The Policy & Resources Committee is requested to:

- 1 approve the Council's General Services and Housing HRA Capital Plan for 2016-2021 attached.
- 2 note the gross capital expenditure investment of £391m over the next 5 years.
- 3 note the updated position for the 2015-2016 projected capital expenditure programme, as at 30 June 2015.
- 4 approve the Prudential Indicators for the Capital Plan 2016-2021, as shown in Appendix 2 and note that these Indicators demonstrate that the Capital Plan 2016-2021 is affordable, prudent and sustainable.

3 FINANCIAL IMPLICATIONS

- 3.1 The total gross capital investment included in the Capital Plan 2016-2021 is £391m.
- 3.2 A significant portion of the Council's capital expenditure in the plan will be financed by borrowing and, as such, will result in Capital Financing Costs being incurred over the estimated useful life of the assets concerned. Appropriate provision will be included in future years Revenue Budgets and the estimated revenue impact of the overall plan is £0.5m pa from 2017/2018.
- 3.3 In some instances, the creation of a new capital asset may result in additional running costs (eg staff, non-domestic rates, maintenance etc) although these may be offset by additional income or related savings. Again, appropriate provision will be included in future years Revenue Budgets for the revenue costs of capital projects as they become operational.

4 BACKGROUND

- 4.1 The Capital Plan 2016-2021 updates the previously approved Capital Plans for General Services and Housing HRA, as previously mentioned in para 1.1 above. In developing the five year Capital Plan for 2016-2021 there has been a focus on a more thematic approach which highlights the Council's strategic priorities. The Capital Plan also reports on the basis of gross Capital Expenditure and this will continue throughout the year in the monitoring of the approved Capital Programme.

This will allow a more structured presentation of management information which will aid scrutiny and overall programme management.

To reflect the overall capital investment being made the by the Council the HRA Capital Plan has also been included, on a summary basis.

The Capital Plan incorporates the movements since the Capital Plan 2015-18 was approved in February, and the inclusion of new projects which reflect new and existing strategic priorities of the Council.

The longer term 5 year time horizon will allow more certainty and opportunity for better resource programming and work scheduling. In addition there will be opportunities for community benefits and job creation. These will be reported in the Annual Procurement update reports.

5 **PRUDENTIAL FRAMEWORK**

5.1 Prudential Code Framework

The Prudential Framework has been developed as a professional code of practice to support local authorities in taking decisions on capital investment. Local authorities are required by Regulation to comply with the Prudential Code under Part 7 of the Local Government in Scotland Act 2003. The Capital Plan 2016-21 has been prepared in compliance with the Prudential Code.

5.2 Under the Prudential Code Local Authorities are obliged to introduce a system of option appraisal for capital projects and to develop asset management plans to assist in determining capital expenditure priorities.

5.3 Option Appraisal

Option appraisal guidelines have been developed which allow departments to consider systematically whether individual capital projects provide value for money. An option appraisal report should be completed for all projects of £250,000 or above being considered for inclusion in the Council's Capital Plan. A separate report is required to present the findings to Committee for projects with a capital value of £1m or more. Option Appraisal projects with a capital value between £250,000 and £1m are required to be approved by the Executive Director of Corporate Services, prior to the project being progressed.

6 **CAPITAL EXPENDITURE 2016-2021 (GENERAL SERVICES)**

6.1 The Capital Plan 2016-2021 updates the previously approved three year Capital Plan 2015-2018. The Capital Plan incorporates the movements since the Capital Plan 2015-18 was approved in February, and the inclusion of new projects which reflect new and existing strategic priorities of the Council. For new projects initial target allocations have been included meantime whilst more detailed feasibility studies and estimates are developed.

6.2 The main changes to the Capital Plan are:

6.2.1 Jobs & Regeneration

The revised cost of the Railway Station is included, together with its various funding streams.

6.2.2 Children & Families

Continuing the Council's commitment to the schools programme, 2 new primary schools have been included within the Capital Plan. Primary School Investment is for 300 and 600 place primaries with appropriate nursery facilities.

A feasibility study is to be carried out on 5 Victorian/Edwardian Primary schools and a scope of phased works to be developed that will be acceptable to Historic Scotland. All the schools are listed and have thriving rolls.

Allowance has been allocated under Secondary School Investment for further feasibility studies in this area.

Monies have been included in 2019/20 onwards for an NHS/community provision in the Lochee area similar to the successful Whitfield Life Centre where various public bodies work together to provide a more effective service.

The Children's Act has necessitated the requirement to support children beyond adolescence into adulthood and funding has been added to commence development proposals.

6.2.3 Health & Social Care

The additional monies added to this theme will continue the development of alternative enhanced accommodation models for adults and older people to assist with living at home or homely settings within Dundee.

6.2.4 Community Safety/Public Protection

It is anticipated that 80% of external funding will be made available to the Council towards £16m of the priority coastal/flood protection works to the City over the life of the Plan. The phased works will protect the whole of the Dundee coastline, excluding Dundee Port. The 20% of funding required from the Council is included in the Plan.

6.2.5 Smart Cities Digital and ICT

The infrastructure and development of ICT is integral to the development and continued growth of Dundee as a City. Grant bids are being progressed with the Smart Cities Fund and the Growth Accelerator Model to be submitted to the Scottish Government. Research funding is available from Scottish Futures Trust which is working with Scottish Councils on bids for Smart Cities Funding and closely with Dundee City Council on the GAM.

6.2.6 Sustainable City Infrastructure

From 2018/19 onwards the Plan includes monies for Sustainable Transport and Low Carbon initiatives which will continue the growth of active travel within the City and help to address Air Quality issues.

A full analysis of capital resources for General Services is shown in Appendix 1.

7 **CAPITAL EXPENDITURE 2016-2021 (HOUSING HRA)**

7.1 **Housing HRA Capital Plan 2015/16 Review**

The HRA Capital Plan is focused on delivering the following key elements: maintaining the housing stock at SHQS level; increasing thermal insulation and tackling fuel poverty as well as providing new council housing to meet housing need within Dundee.

The plan shows the revised anticipated spend for 2015/16 reflecting carry forward from 2014/15 and the planned spend to 2020/21. The priority areas of expenditure in 2015/16 are: re-roofing £1.4m, installation of new windows £1.6m, external insulation £4.6m, heating replacement £4.1m, disabled adaptations and continuing the council new build programme. Planning for the new build housing at Alexander Street is well underway with planning applications submitted in July 2015. The anticipated site start is spring 2016.

7.2 **Scottish Housing Quality Standard**

The Council has invested £154 million improving the Council's housing stock. The Council's housing stock met the Scottish Housing Quality Standard at April 2015 subject to abeyances. This is work which could not be completed due to circumstances out with the Council's control. These include where owners do not consent to the installation of a secure entry system or where tenants refuse heating, kitchen and bathroom installations because of not wanting the disruption of improvement work.

The Council must maintain its housing stock at SHQS levels by implementing lifecycle replacement programmes. This is reflected within the capital plan.

7.3 **Energy Efficiency Standard for Social Housing**

The Scottish Government has introduced the Energy Efficiency Standard for Social Housing (EESSH). EESSH sets minimum energy efficiency ratings dependant upon the dwelling type and heating system to be achieved by 2020.

£20.9M has been included in the capital estimates to provide external wall insulation (EWI) to hard to heat homes up to 2020. The funding in the capital plan will be supplemented by Home Energy Efficiency Programme for Scotland – Area Based Schemes (HEEPS-ABS) and ECO funding (or successor schemes) to ensure that mixed tenure flatted blocks can be thermally insulated.

To date the Council has harnessed £18.8m from HEEPS-ABS and ECO to assist in funding EWI.

7.4 **Fuel Poverty**

It is estimated that 42% of the households in Dundee City Council area are affected by fuel poverty. Fuel poverty is defined as where households spend more than 10% of their household income on heating their homes. Fuel poverty is the interaction of fuel prices, household incomes and energy efficiency. The Council will continue to target resources within the capital plan as outlined above to effectively address energy efficiency.

The installation of cladding and district heating in the multi storeys has made tenant's homes warmer and reduced household fuel bills. Fuel cost reductions vary according to lifestyles with savings typically 30-40%. Findings from surveys in external wall insulation projects show typical savings in household fuel bills of 20%, with 81% of residents feeling warmer and 73% feeling their home stayed warmer longer.

7.5 **Affordable Housing Supply**

The Council is continuing its new build programme assisting in the regeneration of the City and meeting housing need and demand within Dundee. The Council has made a particular commitment to providing wheelchair housing and identified an additional £1.2 within the new build programme. The development in partnership with Hillcrest Housing Association at Alexander Street will commence on site in 2015/16. The programme will continue to include houses for those who need wheelchair accessible housing as well as addressing the needs of community care groups.

8 **PRUDENTIAL INDICATORS**

- 8.1 The Prudential Code requires the Director of Corporate Services to prepare a set of indicators that demonstrate that the Council's Capital Plan 2016-2021 is affordable, prudent and sustainable. A copy of the Indicators are detailed in the attached Appendix 2 to this report. The Indicators demonstrate that the Capital Plan 2016-2021 is indeed sustainable, affordable and prudent.

9 **RISK ASSESSMENT**

- 9.1 There are a number of risks which may have an impact on the Capital Plan 2016-21. The main areas of risk are set out below, together with the mechanisms in place to help mitigate these risks.
- 9.2 Currently, building cost inflation levels are volatile, and they can on occasion be relatively high in comparison to general inflation. Therefore delays in scheduling and letting contracts may lead to increases in projected costs. In such an event, every effort will be made to ensure delays are avoided wherever possible and any increase in costs minimised.
- 9.3 Slippage in the Capital programme leads to the need to reschedule projects in the Capital Plan, therefore creating problems in delivering the programme on time. An allowance for slippage has been included in the Capital Plan to take cognisance of this. In addition the programme is carefully monitored and any potential slippage identified as soon as possible and any corrective action taken wherever possible.

- 9.4 Capital projects can be subject to unforeseen price increases. The nature of building projects is such that additional unexpected costs can occur. Contingencies are built into the budget for each capital project and these will be closely monitored throughout the project.
- 9.5 There is risk associated with projects that are not yet legally committed as the works are not yet tendered for, and there is potential for costs to be greater than the allowance contained within the Capital Plan. The Capital Programmes will be adjusted as necessary to reflect updated cost estimates.
- 9.6 The accurate projection of the value and timing of capital receipts from asset sales is difficult in the current economic climate. There is therefore a risk that the level of capital receipts assumed in the financing of the capital programme will not be achieved. In preparing the capital plan the Council has budgeted for a low level of Capital receipts being achieved. Additional borrowing can be used to cover any temporary shortfalls in capital receipts.
- 9.7 The amount and timing of capital receipts can also be difficult to accurately project as sales are often conditional on planning permission and other non-financial factors. This is the case even in times of relative economic stability.
- 9.8 The level of General Capital Grant received from Scottish Government may be impacted by budgetary constraints in future financial settlements.

10 **POLICY IMPLICATIONS**

- 10.1 This report has been screened for any policy implications in respect of Sustainability, Strategic Environmental Assessment, Anti-Poverty, and Equality Impact Assessment.

There are no major issues.

Each individual project will be screened for each of these elements and they will be reviewed when reported to Committee. This will include preparation of Equality Impact Assessments where appropriate.

11 **CONSULTATION**

- 11.2 The Chief Executive, Executive Director of City Development, Executive Director of Neighbourhood Services and Head of Democratic and Legal Services have been consulted in the preparation of this report.

12 **BACKGROUND PAPERS**

None

MARJORY STEWART
EXECUTIVE DIRECTOR OF CORPORATE SERVICES

30 JULY 2015

CAPITAL RESOURCES – GENERAL SERVICES**1 CAPITAL EXPENDITURE FUNDED FROM BORROWING**

- 1.1 The level of borrowing for 2016/17 to 2020/21 has been determined based largely on the level of expenditure that can be afforded from the Capital Financing Costs included within the Provisional 2016/17 Revenue Budget and is shown below:

	Total Borrowing £m	General Services Borrowing £m	Housing HRA Borrowing £m
2016/17	64.428	50.908	13.520
2017/18	75.510	63.671	11.839
2018/19	25.922	14.139	11.783
2019/20	18.220	7.220	11.000
2020/21	24.360	13.360	11.000

- 1.2 The level of borrowing shown above includes some capital projects where a proportion of the borrowing will be funded from efficiency savings and prudential borrowing from new income streams from within Departmental Revenue Budgets and these figures are shown below, for example New Dundee Railway Station Concourse & Hotel. In addition the borrowing to fund National Housing Trust phases 1 & 2 is also included. The debt servicing costs are financed by rental income from the houses and the principal is repaid when the houses are sold (Scottish Government guarantees to underwrite the repayment).

	£m
2016/17	17.048
2017/18	20.775
2018/19	5.100
2019/20	-
2020/21	-

2 CAPITAL GRANTS AND CONTRIBUTIONS

- 2.1 The Finance Circular 2-2015 received from the Scottish Government in March 2015 confirmed the level of General Capital Grant for 2015/16. Within the General Capital Grant the Council has flexibility to allocate the grant to reflect its service priorities and greatest need. The figures for 2016/17 to 2020/21 are estimated figures, net of any transfer for Private Sector Housing Grant, and will be subject to confirmation at the next Scottish Government Spending Review.
- 2.2 In addition to the General Capital Grant, the Council receives grants and contributions which are specific to an individual project. These grants and contributions are shown within the detailed pages of the Capital Plan and summarised on the Resources page of the Capital Plan 2016-21 (page 2).

	Total Grants & Contributions £m	General Capital Grant £m	Project Specific Capital Grants & Contributions £m
2016/17	48.933	17.000	31.933
2017/18	47.841	17.000	30.841
2018/19	19.965	17.000	2.965
2019/20	19.965	17.000	2.965
2020/21	17.765	17.000	0.765

- 2.3 A bid is currently being prepared under the Growth Accelerator Model (GAM) for submission to the Scottish Government.
- 2.4.1 High level preparation has begun in consultation with neighbouring authorities to consider submission of a City Deal bid which would contain a long term investment plan which would benefit the wider city region.

3 **CAPITAL RECEIPTS**

These comprise receipts from the sale of land and buildings. Income from the sale of land and buildings has been estimated in consultation with officers from the City Development Department and account has been taken of the current economic climate.

	Total Receipts £m	General Services £m	Housing HRA £m
2016/17	6.070	2.000	4.070
2017/18	3.786	2.000	1.786
2018/19	4.107	2.000	2.107
2019/20	4.200	2.000	2.202
2020/21	4.000	2.000	1.941

It is anticipated that the Waterfront development will generate additional capital receipts or long lease income to support further capital expenditure. No allowance has currently been made for this, although it is expected that this 'city dividend' arising from the transformational investment will be significant and will unlock further funding to enable further reinvestment.

4 **SLIPPAGE**

- 4.1 An allowance for slippage has been made in the early years of the Capital Plan 2016-21 to reflect the movement which occurs on projects due to delays on projects both in the design and procurement stage and during construction. The slippage allowances for 2016/17 and 2017/18 are £3.1m and £2.5m respectively.

1. CAPITAL EXPENDITURE INDICATORS**1.1 Level of Capital Expenditure**

This indicator measures affordability and gives a basic control of the Council's capital expenditure. To provide an accurate indicator of capital expenditure all receipts are excluded from the calculation, so figures are based on gross expenditure.

1.2 Ratio of Financing Costs to Net Revenue Stream

This also measures affordability. The measure includes both current and future commitments based on the Capital Plan and shows the revenue budget used to fund the capital financing costs associated with the capital expenditure programme.

Variations to the ratio implies that the proportion of loan charges has either increased or decreased in relation to the total funded from Government Grants and local taxpayers.

1.3 Estimate of Incremental Impact of Capital Investment Decisions on the Council Tax

This is also a measure of affordability. It shows the relative impact of the capital programme on the Council Tax. The indicator takes into consideration the effects of self-financing capital projects funded from existing Revenue Budgets, the effects of government funded projects and reflects the revenue impact of capital schemes on capital financing costs. The variation in the indicators shows the incremental impact of the capital investment decisions within this 5 Year Plan on the Council Tax, with all other items held constant. In reality the Council will manage its Capital Financing Costs budget in the same way as other revenue budget headings to avoid a detrimental impact on Council Tax.

2. TREASURY MANAGEMENT INDICATORS

The Annual Treasury Management Activity for 2014/15 (including Prudential Indicators covering period 2014/15 to 2017/18) was reported to Policy & Resources Committee on 22 June 2015 (Report No 212-2015). These have now been updated to reflect projected expenditure included in the 2016-21 Capital Plan.

PRUDENTIAL CODE INDICATORS - TREASURY MANAGEMENT INDICATORS

Adoption of Revised CIPFA Treasury Management Code of Practice

Yes

Upper limit for variable and fixed rate exposure

	Net principal re variable rate borrowing / investments	Net principal re fixed rate borrowing / investments
2015/16	30%	100%
2016/17	30%	100%
2017/18	30%	100%
2018/19	30%	100%
2019/20	30%	100%
2020/21	30%	100%

Actual External Debt

	<u>£'000</u>
Actual borrowing as at 31/03/2015	445,164
Actual other long term liabilities as at 31/03/2015	76,214
Actual external debt as at 31/03/2015	521,378

Maturity structure of fixed rate borrowing 2014/15

Period	Lower %	Upper %
Under 12 months	0	10
12 months & within 24 months	0	15
24 months & within 5 years	0	25
5 years & within 10 years	0	25
10 years +	50	95
Upper limit for total principal sums invested for over 364 days	n/a	No sums will be invested longer than 364 days

External debt, excluding investments, with limit for borrowing and other long term liabilities separately identified

	Authorised Limit				Operational Boundary		
	Borrowing £000	Other £000	Total £000		Borrowing £000	Other £000	Total £000
2015/16	502,000	75,000	577,000		477,000	75,000	552,000
2016/17	545,000	73,000	618,000		520,000	73,000	593,000
2017/18	597,000	71,000	668,000		572,000	71,000	643,000
2018/19	602,000	69,000	671,000		577,000	69,000	646,000
2019/20	599,000	67,000	666,000		574,000	67,000	641,000
2020/21	602,000	65,000	667,000		577,000	65,000	642,000

PRUDENTIAL CODE INDICATORS - PRUDENTIAL INDICATORS

	Capital Expenditure				Ratio of financing costs to net revenue stream	
	Non-HRA £000	HRA £000	Total £000		Non-HRA %	HRA %
2015/16	100,062	16,213	116,275		7.6	41.8
2016/17	104,169	18,362	122,531		7.8	40.7
2017/18	115,547	14,090	129,637		8.3	40.1
2018/19	35,339	14,655	49,994		8.9	40.4
2019/20	28,420	13,965	42,385		8.4	40.4
2020/21	32,360	13,765	46,125		8.6	37.8

	Net Borrowing Requirement (NBR)			Capital Financing Requirement (CFR)			
	1 April £000	31 March £000	Movement £000	Non-HRA £000	HRA £000	Total £000	Movement £000
2015/16	443,598	473,000	29,402	330,000	177,000	507,000	31,000
2016/17	473,000	516,000	43,000	368,000	180,000	548,000	41,000
2017/18	516,000	567,000	51,000	418,000	181,000	599,000	51,000
2018/19	567,000	573,000	6,000	422,000	181,000	603,000	4,000
2019/20	573,000	570,000	(3,000)	419,000	180,000	599,000	(4,000)
2020/21	570,000	574,000	4,000	423,000	179,000	602,000	3,000

	NBR v CFR Difference	Incremental Impact of Capital Investment Decisions	
	Total £000	Increase in council tax (band D) per annum £ (Note 1)	Increase in average housing rent per week £
2015/16	34,000	0.24	0.01
2016/17	32,000	0.77	0.21
2017/18	32,000	8.32	0.07
2018/19	30,000	10.73	(0.04)
2019/20	29,000	10.42	(0.22)
2020/21	28,000	11.31	(0.11)

Note 1 - The above figures reflect the incremental impact of the capital investment decisions within this 5 Year Plan on the Council Tax, with all other items held constant. In reality the Council will manage its Capital Financing Cost budget in the same way as other revenue budget headings to avoid a detrimental impact on Council Tax Levels.



CAPITAL PLAN 2016 - 2021

FOR GENERAL SERVICES & HOUSING HRA

Aug-15

Executive Director of Corporate Services

DUNDEE CITY COUNCIL

CAPITAL PLAN 2016 - 2021

CONTENTS

Page No.

GENERAL FUND SERVICES

Projected Capital Resources	2
Summary of Total Budgeted Capital Expenditure	3
Jobs & Regeneration	4
Recreation, Culture, Arts & Heritage	5
Children & Families	6
Health & Social Care - Older People & Adults	7
Community Safety/Public Protection	8
Digital & ICT	9
Sustainable City Infrastructure	10
Corporate Asset Management	11
Housing HRA	12

DUNDEE CITY COUNCIL**CAPITAL PLAN 2016 - 2021 - GENERAL FUND SERVICES****PROJECTED CAPITAL RESOURCES**

		<u>2015/16</u> <u>£000</u>	<u>2016/17</u> <u>£000</u>	<u>2017/18</u> <u>£000</u>	<u>2018/19</u> <u>£000</u>	<u>2019/20</u> <u>£000</u>	<u>2020/21</u> <u>£000</u>
1 Capital expenditure funded from borrowing	General Services	44,675	50,908	63,671	14,139	7,220	13,360
	Housing HRA	9,914	13,520	11,839	11,783	11,000	11,000
2 Capital Element of General Capital Grant less PSHQ		18,063	17,000	17,000	17,000	17,000	17,000
3 Capital grants & Contributions - project specific		33,480	31,933	30,841	2,965	2,965	765
4 Capital Receipts - Sale of Assets	General Services	1,000	2,000	2,000	2,000	2,000	2,000
	Housing HRA	4,211	4,070	1,786	2,107	2,200	2,000
5 Slippage	General Services	6,500	3,100	2,500			
	Housing HRA	1,688					
TOTAL PROJECTED GROSS CAPITAL RESOURCES		119,531	122,531	129,637	49,994	42,385	46,125
TOTAL PLANNED GROSS CAPITAL EXPENDITURE		119,531	122,531	129,637	49,994	42,385	46,125

DUNDEE CITY COUNCIL

CAPITAL PLAN 2016 - 2021

PRICE BASE : OUTTURN PRICES

SUMMARY

ALL FIGURES £'000

Project/Nature of Expenditure	Total Cost of Project	Actual prior to 31-Mar-15							
			2015/16	2016/17	2017/18	2018/19	2019/20	2020/21	Later Years
Jobs & Regeneration	159,885	86,595	21,332	19,216	25,501	5,547	847	847	-
Recreation, Culture, Arts & Heritage	116,141	12,095	27,557	37,178	35,336	2,925	525	525	-
Children & Families	163,229	37,271	26,204	24,572	26,532	3,600	3,250	9,500	32,300
Health & Social Care - Older People & Adults	9,984	621	1,639	795	3,190	2,229	760	750	-
Community Safety/Public Protection	35,671	6,087	5,514	4,930	8,660	4,660	4,660	1,160	-
Digital & ICT	32,491	13,319	2,872	2,620	3,420	3,420	3,420	3,420	-
Sustainable City Infrastructure	95,890	41,591	8,639	6,712	6,712	6,412	8,412	9,612	7,800
Corporate Asset Management	73,542	33,257	6,305	8,146	6,196	6,546	6,546	6,546	-
Housing HRA	94,306	-	19,469	18,362	14,090	14,655	13,965	13,765	
<i>Total Gross Expenditure</i>	781,139	230,836	119,531	122,531	129,637	49,994	42,385	46,125	40,100

DUNDEE CITY COUNCIL

PRICE BASE: OUTTURN PRICES

CAPITAL PLAN 2016 - 2021

JOBS AND REGENERATION

ALL FIGURES £'000

Project/Nature of Expenditure	Total Cost of Project	Actual prior to 31-Mar-15							
			2015/16	2016/17	2017/18	2018/19	2019/20	2020/21	Later Years
Acquisition of Land/Buildings	5,699	5,580	119						
Industrial Estates Improvements	600		100	100	100	100	100	100	
Shopping Parade Improvements	1,939	1,283	156	100	100	100	100	100	
Demolition of Surplus Properties	11,521	5,824	927	550	2,570	550	550	550	
National Housing Trust Ph1 & 2	11,457	1,457	2,100	2,500	2,700	2,700			
Central Waterfront (Less External Funding)	67,620 (45,627)	50,653 (34,229)	8,210 (5,985)	2,352 (1,933)	4,405 (3,480)	2,000			
Dundee Railway Station Less External Funding	38,000 (6,359)	4,916 (2,766)	6,888 (278)	11,667	14,529 (3,315)				
New Lock Entrance to Camperdown Dock	4,038	38	1,150	1,850	1,000				
Lochee (Less External Funding)	2,785 (600)	1,962 (600)	823						
Vacant & Derelict Land Fund (Less External Funding)	15,547 (15,156)	14,855 (14,464)	692 (692)						
Community Regeneration Fund	679	27	167	97	97	97	97	97	
Net Expenditure	92,143	34,536	14,377	17,283	18,706	5,547	847	847	
Netted Off Receipts	(67,742)	(52,059)	(6,955)	(1,933)	(6,795)				
Gross Expenditure	159,885	86,595	21,332	19,216	25,501	5,547	847	847	

DUNDEE CITY COUNCIL

PRICE BASE: OUTTURN PRICES

CAPITAL PLAN 2016 - 2021

RECREATION, CULTURE, ARTS AND HERITAGE

ALL FIGURES £'000

Project/Nature of Expenditure	Total Cost of Project	Actual prior to 31-Mar-15							
			2015/16	2016/17	2017/18	2018/19	2019/20	2020/21	Later Years
McManus Galleries - finalise roof replacement and refurbishment	347	76	271						
(Less External Funding)	(11)	(11)							
Caird Hall Refurbishment first floor toilets	109	109							
Wildlife Centre Office/Bothy	16	14	2						
DCA - General Upgrade	379	39	20	300	20				
DCA-Upgrade of Chillers	227	185	42						
(Less External Funding)	(16)	(16)							
Caird Hall Extension of Conference Facilities	1,243	1243							
V&A at Dundee	80,110	6,211	22,355	27,203	21,941	2,400			
(Less External Funding)	(63,525)	(6,211)	(18,355)	(23,953)	(15,006)				
Dundee Heritage Trust for Discovery Point	500				500				
Camperdown House	100		100						
Shore Terrace Phase II	3,000				3,000				
Parks & Open Space	8,995	3,026	1,019	2,030	1,630	430	430	430	
(Less External Funding)	(589)	(304)	(285)						
Sports Facilities	4,115	1,171	2,069	295	295	95	95	95	
(Less External Funding)	(515)	(90)	(175)	(125)	(125)				
	0								
Regional Performance Centre for Sport	17,000	21	1,679	7,350	7950				
(Less External Funding)	(5,000)		(400)	(2,350)	(2,250)				
Net Expenditure	46,485	5,463	8,342	10,750	17,955	2,925	525	525	
Receipts	(69,656)	(6,632)	(19,215)	(26,428)	(17,381)				
Gross Expenditure	116,141	12,095	27,557	37,178	35,336	2,925	525	525	

DUNDEE CITY COUNCIL

PRICE BASE: OUTTURN PRICES

CAPITAL PLAN 2016 - 2021

CHILDREN & FAMILIES

ALL FIGURES £'000

Project/Nature of Expenditure	Total Cost of Project	Actual prior to 31-Mar-15							
			2015/16	2016/17	2017/18	2018/19	2019/20	2020/21	Later Years
Harris Academy Refurbishment	32,350	18,759	11,914	1,177	500				
(Less Scottish Government Capital Grant)	(20,363)	(18,076)	(2,287)						
Balgarthno Primary School	8,939	8,919	20						
Decanting Harris & Refurbishment Rockwell	2,241	2,024	131	86					
Barnhill Primary - Extension	3,100	3,070	30						
(Less CEEF Contribution)	(14)	(14)							
Coldside - New Primary & Community Facilities	14,694	87	871	1,700	10,636	1400			
Menzieshill - New Primary & Nursery Facilities	12,768	328	2,932	6,608	2,600	300			
Menzieshill - Community Provision	9,500	37	1,783	7,080	600				
(Less Regeneration Funding)	(1,320)		(720)	(600)					
Baldragon Academy Replacement - Secondary Element	29,706	929	6,556	16,921	5,300				
(Less External Funding)	(28,706)	(500)	(6,556)	(16,500)	(5,150)				
Sidlaw View Replacement - Primary/Nursery Element	8,100	431	4,469	3,000	200				
Longhaugh - St Lukes & St Matthews and St Vincents - Replacement	16,000		600	4,000	11,000	400			
Cleington Primary School	750						750		
Primary School Investment	23,000						200	3,000	19,800
Secondary School Investment	3,500							1,000	2,500
Victorian / Edwardian Schools	13,000					200	800	2,000	10,000
Lochee - Community Provision	3,000						500	2,500	
Community Centres	2,648	1,383	619		346	300			
(Less External Funding)	(70)	(70)							
Capital Spend Children & Young People Act 2014	3,179	1,304	1,875						
Strategic Funding for Children & Young People	1,500					500	500	500	
Capitalisation of Schools PPP Unitary Charge(re PPP, previously CFCR)	3,460		960	500	500	500	500	500	
Net Expenditure	140,962	18,611	23,197	23,972	26,532	3,600	3,250	9,500	32,300
Receipts	(22,267)	(18,660)	(3,007)	(600)					
Gross Expenditure	163,229	37,271	26,204	24,572	26,532	3,600	3,250	9,500	32,300

DUNDEE CITY COUNCIL

CAPITAL PLAN 2016 - 2021

HEALTH & SOCIAL CARE - OLDER PEOPLE AND ADULTS

PRICE BASE: OUTTURN PRICES

ALL FIGURES £'000

Project/Nature of Expenditure	Total Cost of Project	Actual prior to 31-Mar-15							
			2015/16	2016/17	2017/18	2018/19	2019/20	2020/21	Later Years
Day Services Accommodation for People with Learning Disabilities	637	382	255						
Wellgate	1,020	231	789						
Whitetop									
Craigie House Replacement	5,002	8	20	545	2,940	1479	10		
Provision of Accommodation for Adults with Learning Disabilities	1,550		300	250	250	250	250	250	
Strategic Funding for Older People and Adults	1,500					500	500	500	
Jean Drummond Centre	275		275						
Net Expenditure	9,984	621	1,639	795	3,190	2,229	760	750	
Receipts									
Gross Expenditure	9,984	621	1,639	795	3,190	2,229	760	750	

DUNDEE CITY COUNCIL

PRICE BASE: OUTTURN PRICES

CAPITAL PLAN 2016 - 2021

COMMUNITY SAFETY/PUBLIC PROTECTION

ALL FIGURES £'000

Project/Nature of Expenditure	Total Cost of Project	Actual prior to 31-Mar-15							
			2015/16	2016/17	2017/18	2018/19	2019/20	2020/21	Later Years
CCTV Project	750	11	739						
Coastal Protection Works (Less External Funding)	25,211 (12,800)	1,395	3,316	4,000 (2,200)	8,000 (6,200)	4,000 (2,200)	4,000 (2,200)	500	
Cemeteries (Less Contribution & Capital Receipt)	2,045 (265)	1,294 (265)	201	110	110	110	110	110	
Construction of Salt Barn	400		200	200					
Air Quality Monitoring Equipment	89	44	5	40					
Contaminated Land	986	386	100	100	100	100	100	100	
Recycling & Waste Management (Less Zero Waste Scotland Funding)	6,190 (278)	2,957 (278)	953	480	450	450	450	450	
Net Expenditure	22,328	5,544	5,514	2,730	2,460	2,460	2,460	1,160	
Receipts	(13,343)	(543)		(2,200)	(6,200)	(2,200)	(2,200)		
Gross Expenditure	35,671	6,087	5,514	4,930	8,660	4,660	4,660	1,160	

DUNDEE CITY COUNCIL

CAPITAL PLAN 2016 - 2021

DIGITAL AND ICT

PRICE BASE: OUTTURN PRICES

ALL FIGURES £'000

Project/Nature of Expenditure	Total Cost of Project	Actual prior to 31-Mar-15							
			2015/16	2016/17	2017/18	2018/19	2019/20	2020/21	Later Years
Purchase Computer Equipment	19,616	11,556	960	1,420	1,420	1,420	1,420	1,420	
Replacement of Major Departmental Systems	800		800						
Telephony & Data Network & Infrastructure Upgrade	1,763	1,763							
Purchase of Computer Software (CITRIX)	800		800						
IT Infrastructure & Software Requirement	512		312	200					
Smart Cities Digital/ICT Investment	9,000			1,000	2,000	2,000	2,000	2,000	
Net Expenditure	32,491	13,319	2,872	2,620	3,420	3,420	3,420	3,420	
Receipts									
Gross Expenditure	32,491	13,319	2,872	2,620	3,420	3,420	3,420	3,420	

DUNDEE CITY COUNCIL

PRICE BASE: OUTTURN PRICES

CAPITAL PLAN 2016 - 2021

SUSTAINABLE CITY INFRASTRUCTURE

ALL FIGURES £'000

Project/Nature of Expenditure	Total Cost of Project	Actual prior to 31-Mar-15							
			2015/16	2016/17	2017/18	2018/19	2019/20	2020/21	Later Years
Road Schemes/Minor Schemes	20,574	11,126	1,948	1,500	1,500	1,500	1,500	1,500	
(Less External Funding)	(2,600)	(2,302)	(298)						
Street Lighting Renewal	14,334	6,708	1,251	1,275	1,275	1,275	1,275	1,275	
(Less SALIX Funding)	(1,154)	(1,154)							
Road Reconstructions/Recycling	30,664	13,692	2,787	2,837	2,837	2,837	2,837	2,837	
(Less External Funding)	(70)	(70)							
Bridge Assessment Work Programme	2,982	1,320	912	50	50	50	50	550	
(Less External Funding)	1,205	(494)	(333)						
Regional Transport Partnership	5,466	3,893	473	400	400	100	100	100	
(Less External Funding)	(1,770)	(1,770)							
Seabraes Pedestrian Link	3,167	2,562	605						
(Less External Funding)	(987)	(987)							
Riverside Drive Realignment	925	912	13						
(Less External Funding)	(530)	(530)							
Council Roads and Footpaths - Other	5,401	1,501	650	650	650	650	650	650	
Sustainable Transport	6,000						1,000	1,000	4,000
Low Carbon Transport Initiative	3,000						1,000	1,000	1,000
Passenger Bus Shelters	3,500							700	2,800
Net Expenditure	87,952	34,284	8,008	6,712	6,712	6,412	8,412	9,612	7,800
Receipts	(7,938)	(7,307)	(631)						
Gross Expenditure	95,890	41,591	8,639	6,712	6,712	6,412	8,412	9,612	7,800

DUNDEE CITY COUNCIL

PRICE BASE: OUTTURN PRICES

CAPITAL PLAN 2016 - 2021

CORPORATE ASSET MANAGEMENT

ALL FIGURES £'000

Project/Nature of Expenditure	Total Cost of Project	Actual prior to 31-Mar-15							
			2015/16	2016/17	2017/18	2018/19	2019/20	2020/21	Later Years
City Square - West Wing	750			750					
Relocation of Environment	979	952	27						
Registrars Move to 18-20 City Square	205	70	135						
Redevelopment of HQ & Operational Depots - Environment (Less External Funding)	3,016 (14)	772 (14)	1,744	500					
Vehicle Fleet - Washbay & Fuel Facility	350			350					
Structural Improvements & Property Upgrades	14,053	6,997	556	1,300	1,300	1,300	1,300	1,300	
Heating & Ventilation Systems	7,059	4,309	250	500	500	500	500	500	
Roof Replacement/Improvement Programme	6,283	3,058	475	550	550	550	550	550	
Window Replacement	4,839	2,589	250	400	400	400	400	400	
Electrical Upgrades	6,028	2,078	450	700	700	700	700	700	
Capital Projects Team	86	48	38						
Disabled Access	350		100	50	50	50	50	50	
Health & Safety Works	2,014	360	154	300	300	300	300	300	
Property Rationalisation	5,000			1,000	1,000	1,000	1,000	1,000	
Energy - Spend to Save	1,100	3	347	150	150	150	150	150	
Procurement Costs	672	96	96	96	96	96	96	96	
Vehicle Fleet Purchases (Less External Funding)	20,758 (1,428)	11,925 (1,412)	1,683 (16)	1,500	1,150	1,500	1,500	1,500	
Net Expenditure	72,100	31,831	6,289	8,146	6,196	6,546	6,546	6,546	
Receipts	(1,442)	(1,426)	(16)						
Gross Expenditure	73,542	33,257	6,305	8,146	6,196	6,546	6,546	6,546	

DUNDEE CITY COUNCIL

CAPITAL PLAN 2016 - 2021

HOUSING HRA

PRICE BASE: OUTTURN PRICES

ALL FIGURES £'000

Project/Nature of Expenditure	Total Cost of Project	Actual prior to 31-Mar-15							
			2015/16	2016/17	2017/18	2018/19	2019/20	2020/21	Later Years
SHQS CAPITAL									
Free from Serious Disrepair									
Roof	13,057		1,411	2,230	1,766	2,550	2,550	2,550	
Roughcast	600		100	100	100	100	100	100	
Windows	6,560		1,616	1,144	1,000	1,000	900	900	
Energy Efficient									
External Insulation and Cavity Fill (Less External Funding)	24,117		7,849 (3,256)	3,256	3,082	3,670	3,230	3,030	
Heating Replacement	21,767		4118	3,527	3,622	3,500	3,500	3,500	
Boiler Replacement	350		100	50	50	50	50	50	
Renewable Initiatives/Gas Services	1,800		300	300	300	300	300	300	
Modern Facilities and Services									
Individual Shower Programme	180		30	30	30	30	30	30	
Healthy, Safe and Secure									
Door Entry System/Secure Doors	1,110		260	200	200	150	150	150	
Door Entry Replacement	300					100	100	100	
Fire Detection	1,165		165	200	200	200	200	200	
Lift Replacement	2,500		50	1,500	500	250	100	100	
Security and Stair Lighting	715		200	70	100	115	115	115	
CCTV/Landlord supply Lighting (MSD)	962		962						
Electrical Upgrading	1,200		200	200	200	200	200	200	
Soundproofing	180		30	30	30	30	30	30	
Miscellaneous									
Fees	80		30	10	10	10	10	10	
Gas Pipe Replacement	300		300						
Disabled Adaptations	4,500		750	750	750	750	750	750	
Increased Supply of Council Housing	10,210		400	3,310	2,000	1,500	1,500	1,500	
Demolitions	917		412	505					
Owners Receipts	(3,932)		(400)	(772)	(465)	(765)	(765)	(765)	
Digital/ICT	800			800					
Community Care									
Sheltered Lounge Upgrades	936		186	150	150	150	150	150	
Net Expenditure	90,374		15,813	17,590	13,625	13,890	13,200	13,000	
Netted Off Receipts	(3,932)		(3,656)	(772)	(465)	(765)	(765)	(765)	
Gross Expenditure	94,306		19,469	18,362	14,090	14,655	13,965	13,765	